

**Congress of the United States**  
**U.S. House of Representatives**  
**Committee on Small Business**  
2361 Rayburn House Office Building  
Washington, DC 20515-6315

June 21, 2017

The Honorable Linda McMahon  
Administrator, U.S. Small Business Administration  
409 3<sup>rd</sup> Street, SW  
Washington, D.C. 20416

Dear Administrator McMahon,

We are writing to request that you provide the Committee with the following information so that we are better able to review and examine the agency's operations. Therefore, please review the attached questions and submit the administration's answers by September 1, 2017. In order to reduce the use of paper and increase the usability of this quantitatively-oriented data, we ask that you submit these answers in electronic format using Microsoft Excel.

Please submit this data to Melissa Jung of the Small Business Committee minority staff at [melissa.jung@mail.house.gov](mailto:melissa.jung@mail.house.gov). If you have any questions about the nature of this request, please feel free to contact Ms. Jung at (202) 225-4038.

Sincerely,



Nydia M. Velázquez  
Ranking Member

## **General**

1. For fiscal years 2015, 2016, and 2017 year to date, the number of full time equivalent employees at the Administration in total, and for the following demographic groups:
  - a. Women
  - b. African American
  - c. Hispanic
  - d. Asian
  - e. Veteran
  - f. Other

## **7(a) Loan Program**

2. What is the total number of loans, and the total amount of loan guarantees currently outstanding in the 7(a) loan program?
3. For fiscal years 2015, 2016, and 2017 year to date, for each of the following geographic areas:
  - a. New York City<sup>1</sup>
  - b. New York State
  - c. Pennsylvania
  - d. Florida
  - e. California
  - f. North Carolina
  - g. Illinois
  - h. Puerto Rico

What is the number and dollar amount of loans made in total, and broken down by the following demographic groups:

- a. Women-owned businesses
  - b. African-American-owned businesses
  - c. Hispanic-owned businesses
  - d. Asian-owned businesses
  - e. Veteran-owned businesses
4. For fiscal years 2015, 2016, and 2017 year to date, what is the number and approved amount of loans made through the following subprograms or delivery methods of 7(a) in total and broken down by the demographic groups listed in question 3 above:
    - a. Community Advantage
    - b. Small Loan Advantage

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<sup>1</sup> The term "New York City" is used to define the geographic area containing the following New York State counties: New York, Bronx, Queens, Kings, and Richmond.

c. SBA Express

5. For fiscal years 2015, 2016, and 2017 year to date, what is the number and approved amount of loans made through each 7(a) subprogram or delivery method listed in question 4, in total and broken down by the demographic groups listed in question 3 above for the following geographic areas:
  - a. New York State
  - b. New York City
  - c. Pennsylvania
  - d. Florida
  - e. California
  - f. North Carolina
  - g. Illinois
  - h. Puerto Rico
6. For fiscal years 2015, 2016, and 2017 year to date, how many lenders made at least one 7(a) loan?
7. For fiscal years 2015, 2016, and 2017 year to date, how many lenders made at least one loan in each of the following subprograms or delivery methods of 7(a):
  - a. Community Advantage
  - b. Small Loan Advantage
  - c. SBA Express
8. For fiscal years 2015, 2016, and 2017 year to date, how many lenders participated in the Preferred Lender Program?
9. For fiscal years 2015, 2016, and 2017 year to date, how many loans were made (and dollars approved) in an amount from \$1 to \$150,000 in total and broken down by each demographic group listed in question 3?
10. For fiscal years 2015, 2016, and 2017 year to date, how many loans were made (and dollars approved) in an amount from \$2 million to \$5 million in total and broken down by each demographic group listed in question 3?
11. For fiscal years 2015, 2016, and 2017 year to date, what is the breakdown of the use of proceeds by type and loan amount?

**504/Certified Development Company (CDC) Program**

12. What is the total number of loans, and the total amount of loan guarantees currently outstanding in the 504/CDC program?

13. For fiscal years 2015, 2016, and 2017 year to date, what is the number and approved dollar amount of 504/CDC loans made to:
- a. Women-owned businesses.
  - b. African-American-owned businesses.
  - c. Hispanic-owned businesses
  - d. Asian-owned businesses
  - e. Veteran-owned businesses.
14. For fiscal years 2015, 2016, and 2017 year to date, what is the number and approved dollar amount of 504/CDC loans made in total and broken down by each demographic group listed in question 13 above for each of the following geographic areas:
- a. New York State
  - b. New York City
  - c. Pennsylvania
  - d. Florida
  - e. California
  - f. North Carolina
  - g. Illinois
  - h. Puerto Rico
15. For fiscal years 2015, 2016, and 2017 year to date, what was the total number of CDCs participating in the CDC loan program?
16. For fiscal years 2015, 2016, and 2017 year to date, which ten CDCs made the most CDC loans, and what was the number and approved dollar amount of loans made by each in each fiscal year requested.

**Microloan Program**

17. What is the total number and amount of loans to intermediaries currently outstanding in the Microloan program?
18. For fiscal years 2015, 2016, and 2017 year to date, what is the total number and approved amount of loans made to Microloan Intermediaries?
19. For fiscal years 2015, 2016, and 2017 year to date, what is the total number and the total amount of loans made to small businesses through the microloan program in total, and broken down by the following demographic groups:
- a. Women-owned businesses.
  - b. African-American-owned businesses.
  - c. Hispanic-owned businesses
  - d. Asian-owned businesses
  - e. Veteran-owned businesses.

20. For fiscal years 2015, 2016, and 2017 year to date, what is the total number and the total amount of loans made to small businesses through the microloan program in total and broken down by each demographic group listed in question 19 above for each of the following geographic areas:
- a. New York State
  - b. New York City
  - c. Pennsylvania
  - d. Florida
  - e. California
  - f. North Carolina
  - g. Illinois
  - h. Puerto Rico
21. For fiscal years 2015, 2016, and 2017 year to date, what was the total number of intermediaries participating in the microloan program?
22. For fiscal years 2015, 2016, and 2017 year to date, which ten intermediaries made the most microloans, and what was the total number and amount of small business loans made by those ten intermediaries in each fiscal year requested?

**SBIC Program**

23. For fiscal years 2015, 2016, and 2017 year to date, what was the number and amount of SBIC program financings in total and broken down by the following demographic groups:
- a. Women-owned businesses.
  - b. African-American-owned businesses.
  - c. Hispanic-owned businesses
  - d. Asian-owned businesses
  - e. Veteran-owned businesses.
24. For fiscal years 2015, 2016, and 2017 year to date, what was the number and amount of SBIC program financings in total and broken down by each demographic group listed in question 23 above for each of the following geographic areas:
- a. New York State
  - b. New York City
  - c. Pennsylvania
  - d. Florida
  - e. California
  - f. North Carolina
  - g. Illinois
  - h. Puerto Rico